

OFFICIAL

Docket No. END-897 DIV1

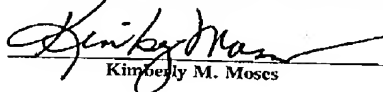
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants : Seth A. Forester et al.  
Serial No. : 10/630, 883 Art Unit: 3736  
Filed : July 30, 2003 Examiner: Not Assigned  
For : Method and Devices for Defining and Marking Tissue

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Date

Assistant Commissioner for Patents  
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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).

Applicant(s) reserve(s) the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist.

[X] In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified national application (other than a continued prosecution application under §1.53(d)), within three months of the date of entry into the national stage of the above identified application as set

forth in §1.491, or before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of a request for continued examination under §1.114, no additional fee is required.

☐ In accordance with §1.129(a), this Information Disclosure Statement is being filed in connection with ☐ the first or ☐ second After Final Submission, therefore:

☐ Statement in Accordance with §1.97(e) (attached); or

☐ Please charge Deposit Account No. 10-0750/END-897DIV1/GSG the fee of \$180.00 as set forth in §1.17(p).

☐ In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, or an action that otherwise closes prosecution and that it is accompanied by one of:

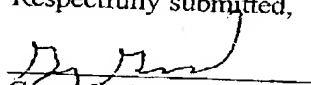
☐ Statement in Accordance with §1.97(e) (attached); or

☐ Please charge Deposit Account No. 10-0750/END-897DIV1/GSG the fee of \$180.00 as set forth in §1.17(p).

☒ Copies of references listed on the attached Form PTO-1449 are not included under 37CFR 1.98(d) where the references have been cited in US Patent Application 09/776,125 to which the application claims priority.

Please charge any deficiency or credit any overpayment to Deposit Account No. 10-0750/GSG/END-897 DIV1.

Respectfully submitted,

  
Gerry S. Gressel

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Date: July 26, 2004

PTO/SB/08A (08-00)

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Substitute for form 1449A/PTO		Application Number	10/630,883
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary) Sheet 1 of 5		Filing Date	July 30, 2003
		First Named Inventor	Seth A. Foerster, et al.
		Group Art Unit	3736
		Examiner Name	Not Assigned
		Attorney Docket Number	END-897 DIV 1

## U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Number	Kind Code <sup>2</sup> (if known)			
		4,248,214		Hannah, et al.	02-03-1981	ALL
		4,541,438		Parker, et al.	09-17-1985	ALL
		4,592,356		Gutierrez	06-03-1986	ALL
		4,774,948		Markham	10-04-1988	ALL
		4,799,495		Hawkins, et al.	01-24-1989	ALL
		4,813,422		Fisher, et al.	03-21-1989	ALL
		4,817,622		Pennypacker, et al.	04-04-1989	ALL
		4,852,568		Kensey	08-01-1989	ALL
		4,966,583		Debbas	10-30-1990	ALL
		4,986,279		O'Neill	01-22-1991	ALL
		5,014,713		Roper, et al.	05-14-1991	ALL
		5,018,530		Rank, et al.	05-28-1991	ALL

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup>				
		EP	0 894 503	A2	Stinson	08-06-1998	ALL	
		PCT	00/38579	A2	Sirimanne, et al.	12-23-1999	ALL	

Examiner Signature	Date Considered
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# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

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Sheet 2 of 5

Application Number	10/630,883
Filing Date	July 30, 2003
First Named Inventor	Seth A. Foerster, et al.
Group Art Unit	3736
Examiner Name	Not Assigned
Attorney Docket Number	END-897 DIV 1

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		Number	Kind Code <sup>2</sup> (if known)			
		5,080,655		Haaga	01-14-1992	ALL
		5,083,570		Mosby	01-28-1992	ALL
		5,158,084		Ghiatas	10-27-1992	ALL
		5,195,988		Haaga	03-23-1993	ALL
		5,197,482		Rank, et al.	03-30-1993	ALL
		5,221,269		Miller, et al.	06-22-1993	ALL
		5,325,857		Nabai, et al.	07-05-1994	ALL
		5,334,216		Vidal, et al.	08-02-1994	ALL
		5,353,804		Kornberg, et al.	10-11-1994	ALL
		5,388,588		Nabai, et al.	02-14-1995	ALL
		5,394,886		Nabai, et al.	03-07-1995	ALL
		5,409,004		Sloan	04-25-1995	ALL

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		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup>				

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheet 3 of 5

Application Number	10/630,883
Filing Date	July 30, 2003
First Named Inventor	Seth A. Foerster, et al.
Group Art Unit	3736
Examiner Name	Not Assigned
Attorney Docket Number	END-897 DIV 1

**U.S. PATENT DOCUMENTS**

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		Number	Kind Code <sup>2</sup> (if known)			
		5,423,321		Fontenot	06-13-1995	ALL
		5,467,780		Nabai, et al.	11-21-1995	ALL
		5,479,936		Nabai, et al.	01-02-1996	ALL
		5,483,972		Nabai, et al.	01-16-1996	ALL
		5,487,392		Haaga	01-30-1996	ALL
		5,517,997		Fontenot	05-21-1996	ALL
		5,526,822		Burbank, et al.	06-18-1996	ALL
		5,556,410		Mittermeir, et al.	09-17-1996	ALL
		5,571,182		Ersek, et al.	11-05-1996	ALL
		5,647,374		Cutrer	07-15-1997	ALL
		5,660,185		Shmulewitz, et al.	08-26-1997	ALL
		5,795,308		Russin	08-18-1998	ALL

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheet 4 of 5

Application Number	10/630,883
Filing Date	July 30, 2003
First Named Inventor	Seth A. Foerster, et al.
Group Art Unit	3736
Examiner Name	Not Assigned
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		Number	Kind Code <sup>2</sup> (if known)			
		5,807,276		Russin	09-15-1998	ALL
		5,873,904		Ragheb, et al.	02-23-1999	ALL
		5,980,564		Stinson	11-09-1999	ALL
		6,053,876		Fisher	04-25-2000	ALL
		6,161,034		Burbank, et al.	12-12-2000	ALL
		6,183,497	B1	Sing, et al.	02-06-2001	ALL
		6,228,055	B1	Foerster, et al.	05-08-2001	ALL
		6,231,834	B1	Unger, et al.	05-15-2001	ALL
		6,271,278	B1	Park, et al.	08-07-2001	ALL
		6,335,028	B1	Vogel, et al.	01-01-2002	ALL
		6,356,782	B1	Sirimanne, et al.	03-12-2002	ALL

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 5 of 5

<b>Application Number</b>	10/630,883
<b>Filing Date</b>	July 30, 2003
<b>First Named Inventor</b>	Seth A. Foerster, et al.
<b>Group Art Unit</b>	3736
<b>Examiner Name</b>	Not Assigned
<b>Attorney Docket Number</b>	END-897 DIV 1

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